CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please

 \square Compliance

Date Mailed to Service List: November 22, 2016

Protest Deadline (20th Day): December 12, 2016

Review Deadline (30th Day): December 22, 2016

Rate Impact: \$See AL

See AL%

Requested Effective Date: January 7, 2017

Utility Contact: Sherrene Chew

Phone: 916-568-4233

Utility Name: California American Water

District: Larkfield District

Tier \Box 1 \boxtimes 2 \Box 3

Description: 2017 Step rate filing.

Utility Contact: Melody Singh

Phone: 916-568-4246

see the "Response or Protest" section in the advice letter for more information.

CPUC Utility #: U210W

Authorization D.15-04-007

Advice Letter #: 1137

Email:	melody.singh@amwater.com	Email:	Sherrene.chew@amwater.com
DWA Contact:	Tariff Unit		
Phone:	(415) 703-1133		
Email:	Water.Division@cpuc.ca.gov		
	DWA USE O	NLY	
<u>DATE</u>	STAFF	CON	<u>IMENTS</u>
[] APPROVED	[]WITHD	RAWN	[] REJECTED
Signature:	Comm	ents:	
Date:			



November 21, 2016

ADVICE LETTER NO. 1137

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Larkfield District which are attached hereto:

C.P.U.C. Sheet No.	Title of Sheet	Canceling Sheet No.
XXXX-W	Schedule No. LK-1 Larkfield District Tariff Area GENERAL METERED SERVICE	8144-W
XXXX-W	Schedule No. LK-1 Larkfield District Tariff Area GENERAL METERED SERVICE	8145-W
XXXX-W	Schedule No. LK-1 Larkfield District Tariff Area GENERAL METERED SERVICE	XXXX-W
XXXX-W	Schedule No. CA-LIRA Larkfield District Tariff Area LOW INCOME RATEPAYER ASSISTANCE PROGRAM	8147-W
XXXX-W	Schedule No. CA-LIRA Larkfield District Tariff Area LOW INCOME RATEPAYER ASSISTANCE PROGRAM	8148-W
XXXX-W	Schedule No. CA-4 Larkfield District Tariff Area PRIVATE FIRE PROTECTION SERVICE	8149-W
XXXX-W	TABLE OF CONTENTS (Continued) (Page 2)	XXXX-W
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Purpose:

This advice letter filing is being made in order to request approval of the 2017 step increase for Larkfield District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007.

Background:

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

...ORA and CAW further agree:

- 1. The program will be a Company-wide program.
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers,
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and

The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.

Request:

This advice letter filing is to request implementation of Larkfield District's 2017 step increase. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 10.04% on a recorded basis and 10.00% on a "proforma" basis. The most recent authorized rate of return per D. 12.07.009 was 8.41%, adjusted to 8.38% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is above the authorized rate of return of the same time period, the district is over earning and is, therefore, not entitled to the full step rate increase. California American Water, therefore, respectfully requests a step rate decrease in the amount of (\$217,605) or 6.56%,

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Tier Designation:

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

Effective Date:

Pursuant to D.15-04-007, California American requests an effective date of January 7, 2017.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water.Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address: Mailing Address:

todd.pray@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

sarah.leeper@amwater.com 555 Montgomery Street, Ste. 816

San Francisco, CA 94111

zhaoyi.chen@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray

Todd Pray

Senior Manager - Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. 8144-W

Schedule No. LK-1 Larkfield District Tariff Area GENERAL METERED SERVICE

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

The unincorporated areas known as the Larkfield and Wikiup subdivisions and the community of Fulton, three miles north of Santa Rosa, Sonoma County.

RATES

Quantity Rates:

	Base Rate	
Residential Customers:	Per 100 gal (CGL)	
For the first 52.4 CGL	\$0.7269	(R)
For the next 52.4 CGL	\$0.8356	(R)
For the next 139.4 CGL	\$1.0850	(R)
For all water delivered over 243.9 CGL	\$1.4204	(R)

All Other Customers:

For all water delivered, per CGL \$0.8356 (R)

e: General Metered	Per Meter	
	Per Month	
5/8 x 3/4-inch meter	\$15.66	(R)
3/4-inch meter	\$23.49	
1-inch meter	\$39.15	
1-1/2-inch meter	\$78.29	
2-inch meter	\$125.27	
3-inch meter	\$234.87	
4-inch meter	\$391.46	
6-inch meter	\$782.91	
8-inch meter	\$1,252.66	(Ŕ)
	e: General Metered 5/8 x 3/4-inch meter 3/4-inch meter 1-inch meter 1-1/2-inch meter 2-inch meter 3-inch meter 4-inch meter 6-inch meter 8-inch meter	Per Month 5/8 x 3/4-inch meter \$15.66 3/4-inch meter \$23.49 1-inch meter \$39.15 1-1/2-inch meter \$78.29 2-inch meter \$125.27 3-inch meter \$234.87 4-inch meter \$391.46 6-inch meter \$782.91

The Service Charge is a readiness-to-serve charge which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY	UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1137	D. P. STEPHENSON	DATE FILED
		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION

655 West Broadway, #1410 San Diego, CA 92101 Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. 8145-W

Schedule No. LK-1 (continued)
Larkfield District Tariff Area
GENERAL METERED SERVICE

RATES (Continued):

Service Charge: Residential Fire Sprinkler System (RFSS)	Per Meter	
	Per Month	
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler	\$20.15	(Ŗ)
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$23.18	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler	\$24.13	
For 3/4-inch residential to 1-inch residential metered fire sprinkler	\$29.35	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$32.78	
For 3/4-inch residential to 2-inch residential metered fire sprinkler	\$33.64	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler	\$50.84	
For 1-inch residential to 2-inch residential metered fire sprinkler	\$51.79	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler	\$95.97	(Ŕ)

The Service Charge is a readiness-to-serve charge which is applicable to all Residential Fire Sprinkler System metered services only and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1137	J.T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION

TITLE

655 West Broadway, #1410 San Diego, CA 92101

C.P.U.C SHEET NO. XXXX-W Revised

CANCELLING Revised

C.P.U.C SHEET NO. XXXX-W

Schedule No. LK-1 (continued) Larkfield District Tariff Area GENERAL METERED SERVICE

SPECIAL CONDITIONS

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
- 2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall

Fees and Surcharges:

- 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 2. A surcharge is included on each bill to collect franchise fees and/or business license fees paid to the County of Sonoma. The amount collected is based on a percentage of the gross revenues of each bill. The percentage is as follows: Larkfield Franchise Fee - 1.00%
- 3. Per Advice Letter 1072-B, a surcharge of \$0.0120 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 4. Per Advice Letter 1137, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

(C)

- 5. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.
 - a. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2015, the net undercollection totals \$985,088 including interest. The surcharge is \$0.1171 per 100 gallons and will remain effective for 36 months beginning March 31, 2016
- 6. Per Advice Letter 1107-A, the under-collected balance in the Larkfield District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.043 per 100 gallons over 36 months effective February 1, 2016. The total amount will be recovered from all classes of customers.

(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1137	J.T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION
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TITLE

655 West Broadway, #1410 San Diego, CA 92101

(Original	C.P.U.C SHEET NO.	XXXX-W	
·				
CANCELLING		C.P.U.C SHEET NO.	8147-W	

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

All territories served by California American Water Company

RATES:

Northern Division:

Sacramento District

Quantity Rates: <u>Base Rate</u>

Per 100 gal (CGL)

For the first 142.1 CGL

\$0.2975 \$0.3242

For all water delivered over 142.1 CGL

Service Charge: General Metered Per Meter Per Month For 5/8 x 3/4-inch meter \$9.61 For 3/4-inch meter \$14.42 For 1-inch meter \$24.03 For 1-1/2-inch meter \$48.05 For 2-inch meter \$76.88 For 3-inch meter \$144.16 For 4-inch meter \$240.26 \$480.53

For 6-inch meter \$480.53
For 8-inch meter \$768.85
For 10-inch meter \$1,105.22
For 12-inch meter \$1,585.75

Larkfield District

Quantity Rates: <u>Base Rate</u>

Per 100 gal (CGL)

(R)

(R)

For the first 52.4 CGL \$0.5815

For the next 52.4 CGL \$0.6685

For the next 139.4 CGL \$1.0850

For all water delivered over 243.9 CGL \$1.4204

(Continued)

(TO BE INSERTED B	Y UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1137	J. T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION
		TITLE	

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING New ___ C.P.U.C SHEET NO. ___ 8148-W

(R)

(R)

Schedule No. CA-LIRA (Continued) California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM

RATES:

Larkfield District (Continued):

Service Charge: General Metered	Per Meter
	Per Month
For 5/8 x 3/4-inch meter	\$12.53
For 3/4-inch meter	\$18.79
For 1-inch meter	\$31.32
For 1-1/2-inch meter	\$62.63
For 2-inch meter	\$100.21
For 3-inch meter	\$187.90
For 4-inch meter	\$313.16
For 6-inch meter	\$626.33

Central Division:

Monterey Main, Hidden Hills, Ryan Ranch, and Bishop Systems

Quantity Rates:	Base Rate
	Per 100 gal (CGL)
For the first 0.748 CGL x Customer Allotment	\$0.5175
For the second 0.748 CGL x Customer Allotment	\$0.7763
For the third 0.748 CGL x Customer Allotment	\$2.5175
For the fourth 0.748 CGL x Customer Allotment	\$5.1753
All Water over 2.992 CGL x Customer Allotment	\$6.4691

<u>Per Meter</u>
Per Month
\$8.05
\$12.07
\$20.11
\$40.23
\$64.37
\$120.69
\$201.15
\$402.30
\$643.68

(Continued)

(TO BE INSERTED BY UTILITY) (TO BE INSERTED BY C.P.U.C) ISSUED BY ADVICE LETTER NO. 1137 D. P. STEPHENSON DATE FILED NAME EFFECTIVE DECISION NO. D.15-04-007 DIRECTOR - Rates & Regulatory RESOLUTION

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE

APPLICABILITY

Applicable to all water service furnished for privately owned fire protection system.

TERRITORY

All territories served by California American Water Company

RATES

Larkfield District	Per Month	
For each 1 1/2-inch connection	\$18.33	(1)
For each 4-inch connection	\$26.28	(I)
For each 6-inch connection	\$41.45	(1)
For each 8-inch connection	\$56.85	(I)
For each 10-inch connection	\$72.60	(i)

Los Angeles County District

For each 4-inch connection or smaller	\$58.01
For each 6-inch connection	\$97.30
For each 8-inch connection	\$138.08
For each 10-inch connection	\$174.38
For each 12-inch connection	\$248.09

Monterey County District

\$25.33
\$27.86
\$30.39
\$35.45
\$40.51
\$75.38
\$113.87
\$156.13

Sacramento Division

For each 4-inch connection or smaller	\$32.54
For each 6-inch connection	\$60.13
For each 8-inch connection	\$87.10
For each 10-inch connection	\$120.97
For each 12-inch connection	\$172.83

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(TO BE INSERTED BY	(UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1137	J.T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR - Rates & Regulatory	RESOLUTION

TITLE

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

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Revised

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Larkfield District

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Monterey County District

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

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> > 7692-W, 8025-W, 7639-W, 8259-W

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(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C.)

ADVICE LETTER NO. 1137 J. T. LINAM DATE FILED NAME **EFFECTIVE**

<u>DIRECTOR – Rates & Regulatory</u> RESOLUTION DECISION NO. D.16-11-014

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

Revised

XXXX-W

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SERVICE AREA MAP:

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Los Angeles County 6570-W

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(TO BE INSERTED BY U	TILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO.	1137	J. T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
•		TITI F	

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1137

BY MAIL:

James M. Bouler
133 Eton Court

Santa Rosa, CA 95403

Shanna Foley

Calif Public Utilities Commission

Legal Division

505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214

John K. Hawks

California Water Association

601 Van Ness Ave., Ste. 2047, Mc E3-608

San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq

Attorney At Law

Manatt Phelps & Phillips Llp

One Embarcadero Center, 30Th Floor

San Francisco, CA 94111

Lenard G Weiss Attorney At Law

Manatt, Phelps & Phillips, Llp

One Embarcadero Center, 30Th Floor

San Francisco, CA 94111

Sarah E. Leeper

Vp - Legal, Regulatory

California-American Water Company

333 Hayes Street, Suite 202 San Francisco, CA 94102

Ken Dursa

For Wastewater Equity

Central Coast Coalition Of Communities

145 Harvest Street Salinas, CA 93901 Bob Mckenzie

Water Issues Consultant

Coalition Of Peninsula Businesses

P.O. Box 223542 Carmel, CA 93922 Richard Rauschmeier

Calif Public Utilities Commission

Water Branch

505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214

Niki Bawa

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5038 San Francisco, CA 94102-3214

Carl Wood

Afl-Cio, Natl Regulatory Affairs Dir.

Utility Workers Union Of America

2021 S. Nevada St Oceanside, CA 92054

Allison Brown

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5206 San Francisco. CA 94102-3214

Case Coordinator

California American Water Company

333 Hayes St., Ste. 202 San Francisco, CA 94102

Celia Hirschman Co-Founder

California Water Rights Association

1281 Montgomery St. / P.O. Box 1225

Oroville, CA 95965 James R. Riley

Co-Chair Ccccwe

P.O. Box 7223

Spreckles, CA 93962

Thomas Frutchey
City Manager

City Of Pacific Grove 300 Forest Avenue

Pacific Grove, CA 93950

Frances M. Farina
De Lay & Laredo
389 Princeton Avenue

Santa Barbara, CA 93111-1637

Tony Tully

Calif Public Utilities Commission

Water Branch

505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214

Jennifer Kalafut

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5303 San Francisco, CA 94102-3214

John Reynolds

Calif Public Utilities Commission

Legal Division

505 Van Ness Avenue Room 4107 San Francisco, CA 94102-3214

W. Anthony Colbert

Calif Public Utilities Commission Division Of Administrative Law Judges

505 Van Ness Avenue Room 5115 San Francisco, CA 94102-3214

Cynthia Russell

California American Water Company

333 Hayes Street, Ste. 202 San Francisco, CA 94102

Stephanie Tousley

Co-Founder

California Water Rights Association

1189 Montgomery St. Oroville, CA 95965 Arthur Mcloughlin For Wastewater Equity

Central Coast Coalition Of Communities

9540 S. Century Oak Road

Salinas, CA 93907

Michael Brown

Cleantech Law Partners, Pc 2932 West Oriole Ave. Visalia, CA 93291

David C. Laredo

Attorney

De Lay & Laredo 606 Forest Avenue

Pacific Grove, CA 93950-4221

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY **ADVICE LETTER 1137**

Sara Senger

Las Palmas Wastewater Committee

25374 Jasmine Ct. Salinas, CA 93908

David J. Stoldt Gen. Mgr.

Monterey Peninsula Water Mngmnt. Dist.

P.O. Box 85

Lloyd Lowrey

Noland Hamerly Etienne & Hoss

P.O. Box 2510 Salinas, CA 93902 Yolanda Lewis

Nat'L. Assn. Of Minority Co., Inc.-Namc

1606 Caino Ruiz No.8-188 San Diego, CA 92126

Mark Brooks

Utilitiy Workers Union Of America

521 Central Ave. Nashville, TN 37211

Mari Lane Nossaman Llp

50 California Street, Ste. 3400 San Francisco, CA 94111

James Birkelund

Prsident

Small Busness Utility Advocates 548 Market St., Ste. 11200 San Francisco. CA 94104

Aldrica Lattimore

President And Founder

Nat'L. Assn. Of Minority Companies, Inc.

10606 Camino Ruiz No.8-188

Martin Mattes Nossaman Llp

50 California Street, Ste. 3400 San Francisco, CA 94111-4799

BY E-MAIL:

Sara Senger

Las Palmas Wastewater Committee

SaraSenger@comcast.net

Shanna Foley

ORA

sf2@cpuc.ca.gov

James M. Bouler

The Mark West Area Community Services

Committee

ibouler@comcast.net

Mark Brooks

Utility Workers Union of America

markbrooks@uwua.net

Frances M. Farina City of Pacific Grove Fran@LaredoLaw.net

Lloyd Lowrey

Noland Hamerly Etienne & Hoss

llowrey@nheh.com

Aldrica Lattimore

National Association of Minority

Companies, Inc. (NAMC) president@namcsd.org

David C. Laredo

City of Pacific Grove/Monterey Peninsula Water Management District (MPWMD)

dave@laredolaw.net

James Birkelund

Small Business Utility Advocates (SBUA)

james@UtilityAdvocates.org

Joseph O'Conner

Butterfield-Riviera East Community

joeoconnor@earthlink.net

Association

Carl Wood

Utility Workers Union of America

carlwood@uwua.net

Michael Brown

Cleantech Law Partners, PC

MBrown@CleantechLawPartners.com

Bob Mckenzie

Coalition of Peninsula Businesses (CPB)

JRBobMcK@gmail.com

Arthur McLoughlin

Central Coast Coalition of Communities

for Wastewater Equity (CCCCWwE)

mickey3643@aol.com

Sarah E. Leeper

California-American Water Company

sarah.leeper@amwater.com

Martin Mattes

California Water Association (CWA)

mmattes@nossaman.com

Tilden Kim

Richards Watson & Gershon

tkim@rwglaw.com

Yolanda Lewis

National Association of Minority Co., Inc,-

president@namcsd.org

Ken Dursa

Central Coast Coalition of Communitites

for Wastewater Equity (CCCWE)

kdursa@salinas.net

David J. Stoldt

Monterey Peninsula Water Management

District (MPWMD)

DStoldt@mpwmd.net

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1137

Thomas Frutchey
City of Pacific Grove
tfrutchey@ci.pg.ca.us

John K. Hawks

California Water Association jhawks@calwaterassn.com

Mari Lane Nossaman LLP

mlane@nossaman.com

Celia Hirschman

California Water Rights Association

(CWRA)

CeliaHirschman@gmail.com

Allison Brown

California Public Utilities Commission

(CPUC)

aly@cpuc.ca.gov

Michael Zelazo

California Public Utilities Commission

(CPUC)

mz3@cpuc.ca.gov

Tony Tully

California Public Utilities Commission

(CPUC)

dt1@cpuc.ca.gov

James R. Riley

Central Coast Coalition of Communities

for Wastewater Equity (CCCCWE)

rossriley@aol.com

Lenard G. Weiss

Manatt, Phelps & Phillips, LLP

lweiss@manatt.com

David P. Stephenson

California American Water

dave.stephenson@amwater.com

Stephanie Tousley

California Water Rights Association

(CWRA)

Stephanie.tousley@gmail.com

Jennifer Kalafut

California Public Utilities Commission

(CPUC)

jmk@cpuc.ca.gov

Niki Bawa

California Public Utilities Commission

(CPUC)

nb2@cpuc.ca.gov

W. Anthony Colbert

California Public Utilities Commission

(CPUC)

wac@cpuc.ca.gov

Case Coordinator

California American Water

casecoordinator@amwater.com

Lori Anne Dolqueist, ESQ

Manatt, Phelps & Phillips, LLP

Idolqueist@manatt.com

Sherrene Chew

California American Water

ca.rates@amwater.com

Terence Shia, P.E.

CPUC - DRA

Terence.Shia@cpuc.ca.gov

John Reynolds

California Public Utilities Commission

(CPUC)

jr5@cpuc.ca.gov

Richard Rauschmeier

California Public Utilities Commission

(CPUC)

rra@cpuc.ca.gov

LARKFIELD DISTRICT SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1137

EMAIL

California Public Utilities Commission Division of Ratepayer Advocates dra_water_al@cpuc.ca.gov

Larkfield/Wikiup Water
District Advisory
c/o Lescure Engineers, Inc.
4635 Old Redwood Highway
Santa Rosa CA 95403jn@lescure-engineers.com

Dana McRae
County of Santa Cruz
County Councel
701 Ocean Street
Room 505
Santa Cruz CA 95060
dana.mcrae@co.santacruz.ca.us

James M. Bouler 133 Eton Court Santa Rosa CA 95403 jbouler@comcast.net;

Johnny Yu 5356 Arnica Way Santa Rosa CA 95403johnnyyu@sbcglobal.net

Lisa Blair
California Public Utilities
Commission
Office of Ratepayer Advocates
505 Van Ness Avenue
Room 3200
San Francisco CA 94102
Iwa@cpuc.ca.gov

Richard Rauschmeier
California Public Utilities
Commission
ORA - Water Branch
505 Van Ness Avenue
Room 4209
San Francisco CA 94102-3214

rra@cpuc.ca.gov

Sarah E. Leeper VP - Legal, Regulatory CA American Water Co. 555 Montgomery St. Suite 816 San Francisco CA 94102 sarah.leeper@amwater.com

Tim & Sue Madura 411 Firelight Drive Santa Rosa CA 95403suemadura@sbcglobal.net

MAIL

City of Rohnert Park
P.O.Box 1489
#Rohnert Park CA 94927

City of Santa Rosa P.O. Box 1668 Santa Rosa CA 95402

Penngrove/Kenwood Water Co 4984 Sonoma Hwy Santa Rosa CA 95409

James Bajgrowicz 235 Wikiup Meadows Drive Santa Rosa CA 95403 Kathy Melee P.O. Box 2278 Windsor CA 95492

Maria Duddy 2184 Teakwood Ct. Hollister CA 95023

Mario Gonzalez 111 Marwest Commons Circle Santa Rosa CA 95403

Susan Sommers City Of Petaluma P.O. Box 61 Petaluma CA 94953